



THE PHILIPPINE STOCK EXCHANGE, INC.

NAME OF APPLICANT: _____

DATE OF APPLICATION: _____

CHECKLIST OF REQUIREMENTS: **Change in Status of Trading Right**

	1. Letter of intent to transfer the Trading Right.
	2. Certified true copies of Amended Articles of Incorporation and By-laws of the applicant-corporation.
	3. Curriculum Vitae with picture, Income Tax Return and/or latest Audited Statement of Assets and Liabilities of stockholders/incorporators owning 5% or more of the outstanding capital stocks of the applicant-corporation.
	4. Sworn Corporate Secretary's Certificate attesting to the authority granted by the company's Board of Directors to the effect that: a. The Board authorizes the application in the Exchange; b. The Board designates one of its stockholders and top officers (President or CEO) as nominee of the Trading Participant to act on its behalf in the Exchange.
	5. Company profile and latest financial statements audited by an SEC-accredited external auditor.
	6. Deed of Assignment if the transfer is by a natural person to his firm/corporation.
	7. Payment of processing fee of Ten Thousand Pesos (P10,000.00).